

Execution-only stockbroking

Stocktrade requirements to open an account

Before you complete this form

Please complete this form if you invested in a Synergy policy and now wish to open an execution-only stockbroking account.

This form should be completed by the policyowner.

For Synergy Executive Pension, the member should complete this form.

You should read the Self-Directed Options guide (SYSDO1) for information on execution-only stockbroking, including permitted investments, Stocktrade's charges and opening hours.

If you choose to invest in Exchange Traded Funds (ETFs), you will first need to complete Stocktrade's Appropriateness Assessment form to assess your understanding on the appropriateness of ETFs. This form is available from your financial adviser or us. It should be completed and returned to Standard Life.

Part 1 - Personal details	
Policy number	
Policyowner (or member)	
First names	
Surname	
Tick this box if you want to deal or view your Stocktrade account online*	* Additional charge applies for each deal arranged by post, email or fax.
@	Stocktrade will use your email address (Policyowner 1) to set
Address	up your online account.
Eircode	
Date of birth (DD/MM/YYYY)	
Tick this box if you're an Irish national. If you're not, or you have dual nationality/citizenship, please give details	 * This could be your passport number or personal identity code, depending on country.
Nationality	For example, in UK, it's your National Insurance Number.
National client identifier*	For other countries, see National client identifier notes on page 4.

SYEOS1 V04 0621

Part 2 - Anti-money laundering regulations	
We are required to obtain information about your occupation, income, details of how you acquired the money you are investing before we can accept your application.	
Occupation of policyowner	
Source of wealth. Tick all that apply.	In certain circumstances, we
Compensation payment Inheritance Salary/bonus Sale of company Divorce settlement Lottery/betting win Sale of investments Savings Gift Policy claim/maturity Sale of property Other Your annual income before tax	may request further information
Under €20,000	
Proof of identity, for example Current passport Current EU driving licence Proof of address, for example Recent bill (electricity, phone or gas) Recent statement (bank or credit union) Recent document from Revenue or Dept of Social Protection	Current means not expired and recent means issued in the last 6 months. If you don't have a particular document, talk to us or your financial adviser about alternatives.
If you don't provide suitable documents/information to us, we may be required to stop processing and discontinue doing business with you. Similarly, after the policy is written, if we ask for up to date verification, we may not be allowed to carry out your instructions until it is provided.	

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Part 3 - Your financial adviser's declaration	
To be completed by the financial adviser	
1. I want to be able to view my client's Stocktrade account online.	
Email me at	Stocktrade will use your email address to set up or add
@	this client's policy to your online financial adviser account.
2. I have completed all checks to verify the identity, address, source of funds, and source of wealth of:	
Names	
to the standards set out in the anti-money laundering and counter financing of terrorism regulations.	
Financial adviser signature	
Financial adviser's	Signature
company name	
Date Financial adviser's Standard Life agency code Standard Life agency code	
Special instructions and/or additional information	
Part 4 - Your declaration	
 I confirm that the information provided in this form, whether in my handwriting or not, is true and complete. 	
2. I agree that a copy of this form can be treated as the original for all purposes.	
 I will only trade in the permitted investments as listed for this Synergy product in the Self-Directed Options guide (SYSDO1). 	
Policyowner signature	Signature
Date (DD/MM/YYYY)	
You are only allowed to trade in investments that are permitted by Standard Life. If it comes to our attention that you have bought non-permitted investments, we will instruct Stocktrade to sell those investments. In these circumstances, your policy will be charged the cost of selling those investments. If you are uncertain as to whether a particular investment is permitted, contact your	

Privacy notice

financial adviser or Standard Life.

We collect, process and maintain policyholder personal information and apply safeguards to ensure that it is protected and used in accordance with data protection law. To read our Privacy Policy, visit www.standardlife.ie/privacy

National client identifier notes

Your National client identifier depends on your nationality.

Country	National client identifier	Where to find identifier
Austria	Leave blank	
Belgium	Belgian National Number (Numéro de registre national – Rijksregisternummer)	National ID
Bulgaria	Bulgarian Personal Number	Passport, national ID, driving licence
Croatia	Personal Identification Number (OIB – Osobni identifikacijski broj)	National ID
Cyprus	National Passport Number	Passport
Czech Republic	National identification number (Rodné číslo)	Birth certificate, national ID, driving licence
Denmark	CPR number	Passport, health care card, driving licence
Estonia	Estonian Personal Identification Code (Isikukood)	Passport, national ID, driving licence
Finland	Personal identity code	Passport, national ID
France	Leave blank	
Germany	Leave blank	
Greece	DSS investor share number	Central Securities Depository S.A.
Hungary	Leave blank	
Iceland	Personal Identity Code (Kennitala)	Passport, national ID, driving licence
Ireland	Leave blank	
Italy	Fiscal code (Codice fiscale)	National health service card
Latvia	Personal code (Personas kods)	National ID, passport
Lichtenstein	National Passport Number	Passport
Lithuania	Personal code (Asmens kodas)	Passport, national ID, driving licence
Luxemburg	Leave blank	
Malta	National Identification Number	National ID
Netherlands	National Passport Number	Passport
Norway	Personal ID (Foedselsnummer)	Passport, national ID, driving licence
Poland	National Identification Number (PESEL)	Birth certificate, national ID, driving licence
Portugal	Tax number (Número de Identificação Fiscal)	
Romania	National Identification Number (Cod Numeric Personal)	Birth certificate, national ID, driving licence
Slovakia	Personal number (Rodné číslo)	Birth certificate, national ID, driving licence
Slovenia	Personal Identification Number (EMŠO: Enotna Matična Številka Občana)	Passport, personal ID card
Spain	Tax identification number (Número 0 Código de identificación fiscal)	National ID, driving licence, social security card
Sweden	Personal identity number	Passport, health care card, driving licence
United Kingdom	UK National Insurance number	National insurance card, P60, letter from DWP or HMRC
All other countries	National passport number	Passport

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Standard Life International dac is regulated by the Central Bank of Ireland. Standard Life International dac is a designated activity company limited by shares and registered in Dublin, Ireland (408507) at 90 St Stephen's Green, Dublin 2.

Calls may be monitored and/ or recorded to protect both you and us and help with our training. Call charges will vary.